ACOMPREHENSIVE AUTHORIZATION, CONFIDENTIALITY, DUE DILIGENCE, DATA PROCESSING AND LIABILITY AGREEMENT FOR INTERNATIONAL OPERATIONS

CLIENT NAME: ___________________________
Identity Card / Passport: ___________________________
Email: ___________________________
Phone: ___________________________
Date: ___________________________
Location: ___________________________

NAME OF BROKER: ___________________________
Registration/License (if applicable): ___________________________
Address: ___________________________
Email: ___________________________

ASSET MANAGER NAME: ___________________________
Address: ___________________________
Email: ___________________________

This document comprehensively regulates the relationship between the client and the **ASSET MANAGER within** the framework of verification processes, regulatory compliance, risk assessment and international operations, regardless of the jurisdiction in which they are carried out.

The client declares that they have been informed in a clear, sufficient and understandable manner about the nature of the processes of identity verification, know your customer (**KYC**), **PREVENTION OF MONEY LAUNDERING (AML),** TERRORIST FINANCING, RISK ASSESSMENT, **DUE DILIGENCE** AND CONTINUOUS MONITORING, AS WELL AS ABOUT THE PROCESSING OF THEIR PERSONAL DATA AND, WHERE APPLICABLE, biometrics.

The client expressly authorises the **ASSET MANAGER to** collect, verify, analyse and process the data provided, including full name, identity card or passport, contact details, copy of the identification document, facial image and any other information necessary for compliance with legal, regulatory or fraud prevention obligations, both during the beginning and throughout the professional relationship.

The client declares under their sole responsibility that all the information and documentation provided is truthful, authentic, current, their property and has not been altered, falsified or manipulated, undertaking to communicate any relevant change immediately. The client acknowledges that any inaccuracy or falsehood may result in the suspension or termination of the relationship, without liability to the **ASSET MANAGER**.

The client understands and accepts that the **processes of DUE DILIGENCE, KYC**, **PREVENTION OF MONEY LAUNDERING (AML)** and risk assessment do not constitute legal, tax or financial advice, nor do they guarantee acceptance by banks, financial institutions, platforms, third parties or authorities, limiting the **ASSET MANAGER** to carry out compliance analyses in accordance with international standards and internal policies.

The ASSET MANAGER expressly undertakes to maintain the absolute confidentiality of the results, reports, assessments, risk scores and conclusions obtained from the client, assuming under its sole responsibility that such information will not be disclosed, transferred or communicated to third parties without the prior written consent of the client, except in those cases in which there is a legal obligation, regulatory or a valid requirement of competent authority, which shall not be considered a breach of this Agreement.

The client expressly authorises the communication of their data to competent authorities, regulatory bodies or legally empowered entities when required by the applicable regulations in any jurisdiction, acknowledging that such communication is mandatory and does not violate the duty of confidentiality assumed by the **ASSET MANAGER.**

The client's data will be kept for the time required by the applicable legislation in each jurisdiction or, failing that, for a maximum period of ten (10) years from the end of the professional relationship, or for the longest period that is required by fraud prevention, **PREVENTION OF MONEY LAUNDERING (AML)** or regulatory compliance obligations.

The client expressly accepts the legal validity of the handwritten, scanned, electronic signature, as well as the submission of this document by digital or electronic means, acknowledging that such means produce full legal and evidentiary effects.

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INDEMNIFICATION

The client agrees to indemnify, defend and hold harmless the **ASSET MANAGER against** any claim, damage, penalty, fine, expense or loss arising from false, incomplete or inaccurate information, violation of this agreement or breach of any applicable legal, contractual or regulatory obligation.

JOINT LIABILITY

In the event that the client is acting on behalf of third parties, beneficial owners or related entities, all signatories and beneficiaries shall be held jointly and severally liable to **the ASSET MANAGER** for any breach, fraud, damage or violation of this agreement.

PENALTIES

Any breach of the client's obligations set out in this agreement will result in an economic penalty equivalent to the damages suffered by the **ASSET MANAGER**, without prejudice to other legal, contractual or regulatory actions that may apply, including the immediate termination of the agreement and the withholding of any outstanding payments.

This obligation of confidentiality, as well as the provisions relating to data processing, compensation, joint and several liability and penalty, shall remain in force even after the termination of the relationship between the parties for the legally enforceable period or the maximum indicated.

This agreement shall be interpreted in accordance with the general principles of international trade law and regulatory compliance, and the law of the domicile of the **ASSET MANAGER** or that which is mandatory by law shall be applicable, with submission to non-exclusive jurisdiction.

CLIENT SIGNATURE

The client must hand-sign this document. If this is not possible, you can paste your signature, but the document must be sent from your corporate email to the designated email: [email will be indicated once the document is sent and accepted].

CLIENT NAME: ___________________________
Signatures: ___________________________
Date: ___________________________
Location: ___________________________

NUMBER BROKER: ___________________________
Signatures: ___________________________
Date: ___________________________
Location: ___________________________

NAME ASSET MANAGER: ___________________________
Signatures: ___________________________
Date: ___________________________
Location: ___________________________

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CLIENT PASSPORT

ACOMPREHENSIVE AUTHORIZATION, CONFIDENTIALITY, DUE DILIGENCE, DATA PROCESSING AND LIABILITY AGREEMENT FOR INTERNATIONAL OPERATIONS

BROKER PASSPORT

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ASSET MANAGER PASSPORT